

PATENT

DORSEY & WHITNEY LLP

US BANK CENTRE
1420 5TH AVENUE, SUITE 3400
SEATTLE WA 98101
FACSIMILE COVER SHEET
(206) 903-8820

September 3, 2002

TO: Examiner Joseph J. Hail, III
Commissioner for Patents
Washington, D.C. 20231

FAX # (703) 746-3267
TELEPHONE: (703) 308-2687

FROM: Mark W. Roberts, Esq.

TELEPHONE : (206) 903-8800 Main; (206) 903-8728 Direct

Applicant : Jason B. Elledge Attorney Docket No.: 500188.02
Serial No. : 09/616,794 Group Art Unit : 3723
Filed : July 14, 2000 Examiner : Willie Wendell Berry, Jr.
Title : APPARATUS FOR IN-SITU OPTICAL ENDPOINTING ON WEB-FORMAT
PLANARIZING MACHINES IN MECHANICAL OR CHEMICAL-MECHANICAL
PLANARIZATION OF MICROELECTRONIC-DEVICE SUBSTRATE ASSEMBLIES
AND METHODS FOR MAKING AND USING SAME

Date Sent : February 11, 2002

Date Due

: March 31, 2002

I hereby certify that the attached is a true copy of an Amendment mailed to the USPTO on February 11, 2002, addressed to Commissioner for Patents, Washington, DC, 20231, in response to an Office Action received from the USPTO dated December 31, 2001. Submitted herewith is a copy of the Amendment and a copy of the return receipt postcard date stamped by the USPTO acknowledging receipt of the Amendment on February 26, 2002. As the Amendment was filed in a timely manner, Applicant hereby requests the USPTO withdraw the Notice of Abandonment dated August 15, 2002.

Date


Mark W. Roberts, Ph.D.
Registration No. 46,160

Documents Attached for Filing:

| | <u>No. Pages</u> |
|-----------------------------------------------------------------------------------------------------------------------------|------------------|
| Copy of Originally Submitted Postcard and Copy of Return Receipt Postcard Date Stamped February 26, 2002 by the USPTO | 1 |
| Copy of Originally Submitted Check | 1 |
| Two Copies of Originally Submitted Fee Transmittal Sheet | 2 |
| Copy of Originally Submitted Amendment | 16 |
| <u>Total Number of Pages</u> (including cover sheet): | 21 |

Original will not be resent via mail.

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PLEASE CONTACT Stephanie Jansen AT (206)903-8748 IF TRANSMISSION IS INCOMPLETE OR CANNOT BE READ.

500188.02

MWR:sj

Commissioner for Patents
Washington, DC 20231

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DUE: March 31, 2002

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Check; Fee Transmittal Sheet (+ copy); Amendment; in re: Jason B. Elledge,
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MICROELECTRONIC-DEVICE SUBSTRATE ASSEMBLIES AND METHODS FOR
MAKING AND USING SAME.

\\Documents\Clients\Micron Technology\100\500188.02\500188.02 postcard 021102.doc

DORSEY & WHITNEY LLP



500188.02

MWR:sj

Commissioner for Patents
Washington, DC 20231

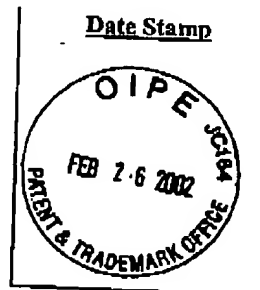
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\\Documents\Clients\Micron Technology\100\500188.02\500188.02 postcard 021102.doc

DORSEY & WHITNEY LLP



FEE TRANSMITTAL SHEET (FOR FY 2001)

Complete if Known

Application No. 09/616,794
 Filing Date July 14, 2000
 First Named Inventor Jason B. Elledge
 Group Art Unit 3723
 Examiner Willie Berry, Jr.
 Atty. Docket Number 500188.02

METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 60-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☒ Check Enclosed

FEE CALCULATION (Continued)

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Fee Code | Fee (\$) | Small Entity | Fee Code | Fee (\$) | Fee Description |
|--------------|----------|----------|--------------|----------|----------|-------------------------------------------------|
| | 101 | 740 | | 201 | 370 | <input type="checkbox"/> Utility Filing Fee |
| | 102 | 330 | | 206 | 165 | <input type="checkbox"/> Design Filing Fee |
| | 105 | 740 | | 208 | 370 | <input type="checkbox"/> Reissue Filing Fee |
| | 114 | 160 | | 214 | 80 | <input type="checkbox"/> Provisional Filing Fee |

Subtotal (1) \$ 0

2. EXTRA CLAIM FEES

| Current Claims | Prior | Extra | Fee | Fee Paid |
|---------------------------|-------|-------|-----|-----------------------|
| Total | 36 | - | 20 | = 16 x \$ 18 = \$ 288 |
| Ind. | 4 | - | 3 | = 1 x \$ 84 = \$ 84 |
| Multiple Dependent Claims | | | | x \$ = \$ |

Subtotal (2) \$ 372

| Large Entity | Fee Code | Fee (\$) | Small Entity | Fee Code | Fee (\$) | Fee Description |
|--------------|----------|----------|--------------|----------|----------|---------------------------------------------------------|
| | 103 | 18 | | 203 | 9 | Claims in excess of 20 |
| | 102 | 64 | | 202 | 42 | Independent claims in excess of 3 |
| | 104 | 280 | | 204 | 140 | Multiple dependent Claim |
| | 109 | 60 | | 209 | 40 | Reissue independent claims over original patent |
| | 110 | 18 | | 210 | 9 | Reissue claims in excess of 20 and over original patent |

3. ADDITIONAL FEES

| Large Entity | Fee Code | Fee (\$) | Small Entity | Fee Code | Fee (\$) | Fee Description | Fee paid |
|---------------------|----------|----------|--------------|----------|----------|----------------------------------------------------------------------------|----------|
| | 105 | 130 | | 205 | 65 | Surcharge - Late filing fee or oath | \$ |
| | 127 | 50 | | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | \$ |
| | 139 | 130 | | 139 | 130 | Non-English specification | \$ |
| | 147 | 2,520 | | 147 | 2,520 | For Filing a Request for Reexamination | \$ |
| | 165 | 300 | | 196 | 300 | Publication (early or Republication) | \$ |
| | 115 | 110 | | 215 | 55 | Extension for reply within first month | \$ |
| | 116 | 400 | | 216 | 200 | Extension for reply within 2 nd month | \$ |
| | 117 | 920 | | 217 | 460 | Extension for reply within 3 rd month | \$ |
| | 118 | 1,440 | | 218 | 720 | Extension for reply within 4 th month | \$ |
| | 128 | 1,860 | | 230 | 980 | Extension for reply within 5 th month | \$ |
| | 120 | 320 | | 220 | 160 | Filing a brief in support of an appeal | \$ |
| | 121 | 280 | | 270 | 140 | Request for oral hearing | \$ |
| | 148 | 110 | | 248 | 55 | Terminal Disclaimer Fee | \$ |
| | 140 | 110 | | 240 | 55 | Petition to revive - unavoidable | \$ |
| | 141 | 1,280 | | 241 | 640 | Petition to revive - unintentional | \$ |
| | 142 | 1,280 | | 242 | 640 | Utility/Reissue issue fee (+ advance copies) | \$ |
| | 143 | 460 | | 243 | 230 | Design issue fee (+ advance copies) | \$ |
| | 122 | 130 | | 122 | 130 | Petitions to the Commissioner | \$ |
| | 123 | 50 | | 123 | 50 | Petitions related to provisional applications | \$ |
| | 126 | 180 | | 126 | 180 | Submission of IDS | \$ |
| | 158 | 40 | | 81 | 40 | Recording each patent assignment per property (times number of properties) | \$ |
| | 178 | 740 | | 278 | 370 | Request for Continued Examination (RCE) | \$ |
| Other fee (specify) | | | | | | | \$ |

Subtotal (3) \$ 0

Total Amount of Payment: \$ 372

Submitted by:

Name: Mark W. Roberts, Ph.D.

Reg. No.: 46,160

Telephone: (206) 903-8728

Signature: *Mark W. Roberts*

Date: 2-11-02

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FEE TRANSMITTAL SHEET (FOR FY 2001)

Complete if Known

Application No. 09/616,794
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First Named Inventor Jason B. Elledge
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| | 406 | 330 | 206 | 165 | | Design Filing Fee |
| | 408 | 740 | 208 | 370 | | Reissue Filing Fee |
| | 412 | 160 | 214 | 80 | | Provisional Filing Fee |
| Subtotal (1) | | | | | | \$ 0 |

2. EXTRA CLAIM FEES

| Current Claims | Prior | Extra | Fee | Fee Paid |
|---------------------------|-------|-------|----------------|-----------|
| Total 36 | - | 20 | = 16 x \$ 18 = | \$ 288 |
| Ind. 4 | - | 3 | = 1 x \$ 84 = | \$ 84 |
| Multiple Dependent Claims | | | | x \$ = \$ |
| Subtotal (2) | | | | \$ 372 |

| Large Entity | Fee Code | Fee (\$) | Small Entity | Fee Code | Fee (\$) | Fee Description |
|--------------|----------|----------|--------------|----------|----------|---------------------------------------------------------|
| | 503 | 18 | 203 | 9 | | Claims in excess of 20 |
| | 502 | 84 | 202 | 42 | | Independent claims in excess of 3 |
| | 504 | 280 | 204 | 140 | | Multiple dependent Claim |
| | 505 | 80 | 205 | 40 | | Reissue independent claims over original patent |
| | 510 | 18 | 210 | 9 | | Reissue claims in excess of 20 and over original patent |

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

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|--------------------------|----------|----------|--------------|----------|----------|----------------------------------------------------------------------------|----------|
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| | 138 | 130 | 139 | 130 | | Non-English specification | \$ |
| | 147 | 2,520 | 147 | 2,520 | | For Filing a Request for Reexamination | \$ |
| | 195 | 300 | 195 | 300 | | Publication (early or Republication) | \$ |
| | 115 | 110 | 215 | 55 | | Extension for reply within first month | \$ |
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| | 123 | 50 | 123 | 50 | | Petitions related to provisional applications | \$ |
| | 125 | 180 | 125 | 180 | | Submission of IDS | \$ |
| | 581 | 40 | 81 | 40 | | Recording each patent assignment per property (times number of properties) | \$ |
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| Other fee (specify) | | | | | | | \$ |
| Subtotal (3) | | | | | | | \$ 0 |
| Total Amount of Payment: | | | | | | | \$ 372 |

Submitted by:

Name: Mark W. Roberts, Ph.D.

Reg. No.: 46,160

Telephone: (206) 903-8728

Signature: *Mark W. Roberts*

Date: 2-11-02

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